



26874
PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Kristin L. Jambor et al. : Paper No:

Serial No. 10/676,946 : Group Art Unit: 3731

Filed: September 30, 2003 : Examiner:

For: SEGMENTED GASTRIC BAND

## **INFORMATION DISCLOSURE STATEMENT**

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

1

In accordance with 37 C.F.R. §§1.97 and 1.98, Applicant herewith submits certain information, which the Patent & Trademark Office may wish to consider in examining the above-identified application. The information is listed below, and are also listed on the attached form PTO-1449.

<u>REFERENCES</u>	<u>INVENTOR</u>	<b>ISSUE DATE</b>
US 4,592,339	Kuzmak et al.	June 3, 1986
US 5,074,868、	Kuzmak	December 24, 1991
US 5,152,770.	Bengmark et al.	October 6, 1992
US 5,226,429 ·	Kuzmak	July 13, 1993
US 5,601,604 ·	Vincent	February 11, 1997
EP 0 611 561 A1	Kuzmak	September 24, 1993
EP 0 611 561 B1	Kuzmak	September 24, 1993
EP 0 702 529 B1	Vincent	May 23, 1994
EP 0 885 031 B1	Bannerman et al.	June 2, 1997
EP 0 923 356 B1	Pier et al.	June 9, 1998
EP 1 036 545 A2	Moshe	March 17, 2000
EP 1 091 707 A1	Forsell	June 23, 1999
EP 1 113 767-A0	Dargent et al.	March 23, 2000
EP 1 198 211 A1	Cancel et al.	July 28, 2000
EP 1 205 148 A1	Vincent	May 23, 1994
EP 1 216 012 A1	Longobardi	September 29, 2000
EP 1 237 486 A2	Cigaina	December 7, 2000
EP 1 244 399 A1 <sup>-</sup>	Benchetrit	December 21, 2000
EP 1 251 808 A1 ·	Benchetrit	January 19, 2001
EP 1 259 170 A2	Birk	March 2, 2001

REFERENCES	INVENTOR	ISSUE DATE
WO 86/04498	Hallberg	August 14, 1986
WO 87/00034	Taylor	January 15, 1987
WO 92/02182	Kuzmak	February 20, 1992
WO 92/21293	Moll et al.	December 10, 1992
WO 94/05214	Shlain	March 17, 1994
WO 94/27504、	Vincent	December 8, 1994
WO 98/56321 ·	Hummen	December 17, 1998
WO 00/69376	Edwards	November 23, 2000
WO 01/10359 A1	Cancel et al.	February 15, 2001
WO 01/19297 A1	Latour	March 22, 2001
WO 01/24742 A1	Longobardi	April 12, 2001
WO 01/41671 A2	Cigaina	June 14, 2001
WO 01/45597 A1	Benchetrit	June 28, 2001
WO 01/68007 A1	Wazne	September 20, 2001
WO 01/85071 A1	Benchetrit	November 15, 2001
WO 02/053040·A1	Gabbay	July 11, 2002
WO 02/064041-A1	Molina Trigueros	August 22, 2002
WO 02/071951 A1	Garza Alvarez	September 19, 2002
WO 02/091961 A1	Hashiba et al.	November 21, 2002
WO 02/096326 A2	Mouton	December 5, 2002
WO 02/096327 A2	Weller et al.	December 5, 2002
JP 09117454 A	Zenetsu et al.	October 25, 1995
JP 08052147	Zenetsu et al.	August 11, 1994
GB 2 139 902 A	Celestin et al.	May 17, 1984

Copies of the listed references are provided. No representation is made or intended that a prior art search has been made or that no better art than the listed is available. It is respectfully requested that the information be considered by the Examiner and made of record in the present application.

The Assistant Commissioner for Patents is authorized to charge any deficiency or credit any overpayment to Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

Kristin L. Jambor et al.

Dated: May 28, 2004

Kevin'S. Sprecher Registration No. 42,165

Telephone (513) 651-6121

FROST BROWN TODD LLC 2200 PNC Center

201 East Fifth Street

Cincinnati, Ohio 45202-4182

## **CERTIFICATE OF MAILING**

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 this Low day of June, 2004.

Karen Kruetzkamp

CinLibrary/1399464.1 0021680.0515149

JUN 0 4 2004

orm PTO 1440

INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Complete if Known					
			Application No.	•		10/676,946				
( many shorts on manager)					Filing Date			September 30, 2003		
(use as many sheets as necessary)				necessary)	First Named Inv	ventor		Jambor		
					Art Unit Examiner Name		3731			
Sheet		1	of	2	Attorney Docke	Attorney Docket No.		0021680/0515149		
				U.S	S. PATENT DOCL	IMENTS				
, .		DOCUMENT NUMBER						Pages, Columns, Lines, W		
Examiner initials	Cite No.	Number-Kind code <sup>2</sup> (if known)		Publication Date MM-DD-YYYY 06-03-1986	Name of Patentee or Applicant of Cited Document Kuzmak et al.		Relevant Passages or Relevar Figures Appear			
muais	140.	US- 4,592,339								
•		US-		74,868	12-24-1991	Kuzmak				
		US-		52,770	10-6-1992	Bengmark et al.		· · · · · · · · · · · · · · · · · · ·		
		US-		26,429	07-13-1993	Kuzmak				
		US-		1,604	02-11-1997	Vincent				
		US-								
		US-								
		US-		- "						
	-			FORE	IGN PATENT DO	CUMENTS				
Examiner	Cite	Fo	oreian F	Patent Document				Danie Oakona Line		
initials	No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind code <sup>5</sup>			Publication Date	Name of Patentee or Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages		
		(if known)			MM-DD-YYYY	Document		or Relevant Figures Appear	T	
		EP 0 611 561 A1			09-24-1993	Kuzmak				
•		EP 0 6	311 56	31 B1	09-24-1993	Kuzmak			<u> </u>	
		EP 0 702 529 B1		05-23-1994	Vincent			<u> </u>		
		EP 0 8	385 03	31 B1	06-02-1997	Bannerman et al. Pier et al.				
•		EP 0 9			06-09-1998				<u> </u>	
		EP 1 036 545 A2			03-17-2000	Moshe			Щ	
		EP 10			06-23-1999	Forsell			<u> </u>	
		EP 1 1			03-23-2000	Dargent et al.			Ь	
		EP 1 1			07-28-2000	Cancel et al.			↓	
		EP 12			05-23-1994	Vincent			<u> </u>	
		EP 12			09-29-2000	Longobardi			<u> </u>	
		EP 1 2			12-07-2000	Cigaina			ļ	
	_	EP 12			12-21-2000	Benchetrit			$\vdash$	
		EP 12			01-19-2001	Benchetrit			ļ	
		EP 1 2			03-02-2001	Birk		<del>.</del>	<u> </u>	
		WO 86			08-14-1986	Hallberg			—	
	_	WO 8			01-15-1987	Taylor			<del> </del>	
		WO 92			02-20-1992	Kuzmak		<del></del>		
		WO 92			12-10-1992	Moll et al.			<del> </del>	
		WO 94			03-17-1994	Shlain			₩	
WO 94/27504			12-08-1994	Vincent			<u></u>			

**EXAMINER SIGNATURE** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known						
	(use as many sheets as necessary)		Application No					
(			Filing Date					
(use			First Named In	ventor	<del></del>			
				Art Unit				
					Examiner Name	9		
Sheet	2	2 of		2	Attorney Docket No.			
						· <sub>4</sub> - · · · · · · · · · · · · · · · · · ·		
		<u>/O 98</u>			12-17-1998	Hummen		$\perp$
		<u>/O 00</u>			11-23-2000	Edwards		
	V	<i>/</i> O 01	/1035	59 A1	02-15-2001	Cancel et al.		↓
	V	<b>/O 01</b>	/1929	7 A1	03-22-2001	Latour		
	٧	WO 01/24742 A1			04-12-2001	Longobardi		
)	٧	WO 01/41671 A2			06-14-2001	Cigaina		
	V	/O 01	/4559	97 A1	06-28-2001	Benchetrit		
Ż	٧	<b>/</b> O 01	/6800	)7 A1	09-20-2001	Wazne		
	V	/O <sub>.</sub> 01	/8507	'1 A1	11-15-2001	Benchetrit		
	٧	/O 02	/0530	)40 A1	07-11-2002	Gabbay		
	V	/O 02	/0640	)41 A1	08-22-2002	Molina Trigueros		
	٧	WO 02/071951 A1			09-19-2002	Garza Alvarez		
	V	WO 02/091961 A1			11-21-2002	Hashiba et al.		1
	V	/O 02	/0963	326 A2	12-05-2002	Mouton		
				327 A2	12-05-2002	Weller et al.		
		≥ 091			10-25-1995	Zenetsu et al.		
	J	<sup>2</sup> 080	5214	7	08-11-1994	Zenetsu et al.		I
	G	B 2 1	39 90	)2 A	05-17-1984	Celestin et al.		
			ОТІ	HER PRIOR ART	- NON PATENT L	ITERATURE DOCUM	ENTS	
	Cite No.		ude na	me of the author (in Ca	APITAL LETTERS), title posium, catalog, etc.),	e of the article (when approp	riate), title of the item (book, number(s), publisher, city and/or	T

CinLibrary/1399527.1 0021680.0515149

**EXAMINER SIGNATURE** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.